



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,602	11/27/2000	Frances H. Arnold	9373/1G811-US1

CONFIRMATION NO. 5781

FORMALITIES LETTER



OC000000005982776

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022

Date Mailed: 04/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1836.
 - \$1116 for 62 total claims over 20.
 - \$720 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2676.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov



EXPRESS MAIL CERTIFICATE

Date 5/4/01 Label No. 853601445Us
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print)

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 9373/1G811US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frances H. ARNOLD, et al.

Serial No.: 09/722,602

Art Unit: TBA

Confirmation No.: 5781

Filed:

November 27, 2000

Examiner:

TBA

For:

DIRECTED EVOLUTION OF OXIDASE ENZYMES

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 2716, (\$2546 filing; \$ 40 recording; \$130 late) in the form of:
 - ☒ [X] check
 - ☐ [] deposit account no. 04-0100
 - ☐ [] credit card (see attached form)(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs.)
4. ☒ Assignment for recording to: CALIFORNIA INSTITUTE OF TECHNOLOGY
5. ☐ Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:

Number:


Date:

The priority documents ☐ are enclosed
☐ will follow.
☐ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: May 2, 2001

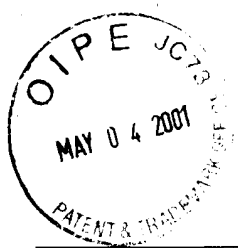


Robert Schaffer
Reg. No. 31,194
Attorney for Applicant(s)

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

Serial No. 09/722,602

Docket No. 9373/1G811US1



Docket No.: 9373/1G811US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	62 - 20	- 0 = 62	x \$18.00	\$1116.00
Independent Claims	12 - 3	- 0 = 9	x \$80.00	\$720.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$2676.00
[] Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$2716.00